Survey on Shared Governance on the 16 UNC Campuses

To support and strengthen shared governance throughout the University of North Carolina, the UNC Faculty Assembly is gathering data on governance from all sixteen campuses. Accordingly, the Assembly requests the elected faculty leadership on each UNC campuses to complete and return the survey below. The information generated will be compiled for the benefit of all faculty.

The Faculty Assembly believes:

- that a strong tradition of shared governance is essential to the excellence of any institution of higher learning;
- that shared governance is not exercised equally on all of our campuses—i.e., that a range exists from a more collegial and cooperative governing partnership between administration and faculty on some campuses to a more authoritarian relationship on others;
- that the Faculty Assembly can help by establishing minimum criteria and benchmarks of governance that all campuses can be expected to meet;
- that the faculty leaders of all campuses should be asked to evaluate the operation of governance at their institutions through a common survey; and
- that the published results of the survey, including a comparison between campuses, can be useful to all campuses in improving governance.

Your cooperation in this important effort will be much appreciated.

Survey directions: The chair (or president) of the faculty senate (or council) should meet with the executive committee (or steering committee) to answer each of the questions below. Please type in responses immediately after each question. Add any additional explanation or clarification, if needed. If you have questions, please contact Richard Veit [veit@uncw.edu], chair of the Assembly’s Governance Committee.

General information

1. Campus name (e.g., UNCP, NCSU, etc.):
2. Name of the body of elected faculty representatives (e.g., Faculty Senate):
3. Title of the elected faculty leader (e.g., "Chair of the Faculty," "Faculty Council President," etc.):
4. Name and e-mail of the elected faculty leader:
5. Title of the body primarily responsible for completing this survey (e.g., "Senate Steering Committee," etc.):

Faculty and the Faculty Senate or Council

1. How many meetings of the council/senate are held each year?
2. Is voting membership of the senate/council limited to elected faculty representatives? Describe any exceptions.
3. How is the chair/president selected (e.g., elected by the entire faculty, elected by the senate/council membership)?
4. The senate/council should be given adequate resources to insure effective faculty governance. Is the senate/council given adequate office space? Is the space adequate?
5. What secretarial support, if any, is the senate/council given? Is the support adequate?
6. What release time, if any, is given to the chair/president and/or other senate/council officers?
7. Budget
   a. What budget, if any, is the senate/council given?
   b. Does the senate/council have reasonable authority over its budget?
   c. Is the budget adequate for its mission?
8. Are the responsibilities and procedures of faculty governance codified in a published governance document?
9. Can the senate/council amend the governance document?
10. Is there a published senate/council set of bylaws?
11. Can the senate/council amend its bylaws?
12. Does the faculty determine how its representatives are elected (e.g., through amendable bylaws)?
13. Does the faculty, through its elected senate/council and consistent with policies in The Code, exercise authority to determine campus policies of reappointment, promotion, and tenure?
14. Does the faculty, through its elected senate or council (or, in some cases, through its delegated faculty committees or through elected faculty councils of its colleges or schools), vote on the following campus curricular policies prior to their implementation:
   a. graduation requirements?
   b. "basic studies"/"general education" requirements for undergraduates?
   c. the establishment of all new departments?
   d. the establishment of new degree programs?
   e. establishment of or changes to majors?
   f. the elimination or consolidation of degree programs and departments (except in cases of declared financial exigency)?
   g. individual new courses?
   h. campus admission and retention policies?
   i. attendance and grading policies?
   j. grade-appeal procedures?
   k. drop/add policies?
   l. course-repeat policies?
   m. honors policies?
   n. honor-code policies?
15. Does the faculty, through its elected senate or council, approve faculty handbooks and academic policy manuals (and campus policies therein)?
16. For joint committees on which the faculty is represented:
   a. Does the representation appropriately reflect the degree of the faculty's stake in the issue or area the committee is charged with addressing?
   b. Are the faculty members selected by the elected faculty leadership?

Administration
1. When requested, do administrators report to the senate/council and respond to questions?

2. Except on rare occasions, do senior administrators uphold the decisions of the senate/council in areas in which the faculty has primary responsibility, such as curriculum and tenure/promotion policies?

3. Do the chancellor and other senior administrators consult in a timely way and seek meaningful faculty input on the following issues in which the faculty has an appropriate interest but not primary responsibility:
   a. budget?
   b. campus master plan?
   c. building construction?
   d. enrollment growth?

4. Does the chancellor effectively advocate the principles of shared governance to the Board of Trustees?

5. Does the chancellor typically sustaining the recommendations of faculty tenure, hearings, and grievance committees?

6. When the chancellor acts against the recommendations of such committees, does the chancellor meet with the committee or otherwise adequately communicate the reasons for not sustaining its recommendations?

7. Does the Board of Trustees exercise due respect for the governance prerogatives of the faculty?

8. Do the faculty participate meaningfully in the selection of academic administrators (through membership on hiring committees and the opportunity to meet and comment on "short-listed" candidates before hiring decisions are made)?

9. Do the professorial-rank faculty of each department approve appointments of department heads/chairpersons?

10. Do the professorial-rank faculty of each department approve the term of office of its department head/chairperson?

11. How often, if at all, does each full-time faculty member evaluate the following senior administrators (e.g., annually, every four years, never)?
   a. chancellor?
   b. provost?
   c. the faculty member's college/school dean?
   d. department head/chair?

12. Does the faculty determine the questions and format of the evaluations?

13. To whom are the results of these evaluations made available (e.g., the person evaluated, that person's immediate supervisor, the entire faculty)?

14. In your opinion, on a scale of 1 to 5, how do the faculty on your campus regard the governance relationship between administration and faculty?

   authoritarian  1  2  3  4  5  collegial

Number for your campus:

Please provide any additional commentary or information on campus governance, if desired:

Responses should be sent by e-mail to the chair of the Assembly's Governance Committee as soon as possible, but no later than the end of March. Results from all sixteen campuses will be made available to all. The chair/president is encouraged to share results with the campus's faculty.